

Hantec Securities Co., Limited

PERSONAL DATA

1. I/We acknowledge that you are subject to the provision of the Personal Data (Privacy) Ordinance and the Personal Data (Privacy) (Amendment) Ordinance 2012 (Cap 486), which regulates your use of personal data concerning me/us. I/We confirm that the information set out in the Customer Information Statement is complete, true and correct. I/We undertake to promptly inform you in writing of any changes to that information. You are also authorized at any time to conduct credit enquiries on me/us and to verify the information provided with and including my/our bankers, brokers and any credit agency.
2. You will keep information relating to the Account confidential, but may provide any such information to the relevant Exchange, the SFC and any other regulatory bodies to comply with their requirements or requests for information under any applicable laws or regulations.
3. The purposes for which data relating to me/us may be used are as follows:-
 - (i) opening, administering and continuation of my/our Account;
 - (ii) the daily operation of the loan facilities provided to me/us;
 - (iii) making lending and credit analysis decision;
 - (iv) conducting credit checks and ensuring ongoing credit worthiness of me/us;
 - (v) determining the amount of indebtedness owed to or by me/us;
 - (vi) recovering of any monies owed from or liabilities incurred by me/us and those providing security for my/our obligations;
 - (vii) meeting the requirements, including the requirement to make disclosure, under of any law, rules or regulations binding on you or your Group; and/or
 - (viii) for purposes of relating or incidental thereto.
4. Data held by you or your Group relating to me/us will be kept confidential but you or your Group may provide, transfer, disclose or exchange such personal data to :
 - (i) any actual or proposed assignee of you or your Group or participant or sub-participant or transferee of your Group's rights in respect of me/us;
 - (ii) any legal, accounting or professional person, firm or body; and
 - (iii) any government, law enforcement or other regulatory authority, body or entity under any applicable law, rules or regulations.

5. USE OF DATA IN DIRECTING MARKETING

You or your Group intends to use the data about me/us in direct marketing and you or your Group requires the consent of me/us (which includes an indication of no objection) for that purpose. In this regard, please note the following:-

- (i) the name, contact details, products and services portfolio information, transaction pattern and behavior, financial background and statistics held by you or your Group may from time to time be used by you and your Group in direct marketing;
 - (ii) the following classes of services, products may be marketed :
 - (a) monetary related services and products;
 - (b) investment related services and products;
 - (iii) the above services, products may be provided or solicited by you or your Group and/or;
 - (a) any member of your Group;
 - (b) third party financial institutions, insurers, securities and investment service providers;
 - (iv) In addition to marketing the above services and products, you and your Group also intends to provide the data described in Section 5(i) above to all or any of the persons described in Section 5(iii) above for use by them in marketing those services and products, and you or your Group requires the written consent of me/us (which includes an indication of no objection) for that purpose;
 - (v) You or your Group may receive money or other property in return for providing the data to the other persons in Section 5(iv) above and, when requesting the consent of me/us or no objection as described in Section 5(iv) above, you or your Group will inform me/us if you or your Group will receive any money or other property in return for providing the data to the other persons;
 - (vi) I/We may, at any time, request you or your Group to cease using personal data of me/us for direct marketing purposes by writing to you at address provided in Section 7;
 - (vii) I/We understand that if I/We so request, any member of you or your Group is required to cease to use the data for such purpose without charge to me/us. In addition, to comply with all relevant laws, regulations, guidelines or guidance, you or your Group, while retaining such data, shall cease from using such data for direct marketing.
6. I/We acknowledge that under and in accordance with the terms of the Personal Data (Privacy) Ordinance (Cap 486), I/We have the right to :
 - (i) check whether you hold data about me/us and the right of access to such data;
 - (ii) require you to correct any data relating to me/us which is inaccurate ; and
 - (iii) ascertain your policies and practices in relation to data and to be informed of the kind of personal data held by you.

7. In accordance with the terms of the Personal data (Privacy) Ordinance (Cap 486), you have the right to charge a reasonable fee for the processing of any data access request. I/We request for access to data or correction of data or for information regarding policies and practices and kinds of data held are to be addressed to you at 12/F South China Building, 1-3 Wyndham Street, Central, Hong Kong.