

Hong Kong Market Service Fees

Fee and Classification	Percentage / Amount
(A) Securities Trading	
Commission Rate	Standard rate: 0.25%(minimum HK\$100.00)
Transaction Levy (charged by SFC)	0.0027% on trading amount
FRC Transaction Levy	0.00015% of transaction amount
Investor Levy	0% of trading amount
HKEX Trading Fee	0.00565% of trading amount
Stamp duty	0.13% of trading amount
	Note:
	1)Paid by buyer and seller
	2)Payment roundup to HK\$1.00
CCASS Fee	0.002% of gross value of an Exchange trade (broker to broker basis), subject to a minimum fee of HK\$2 and maximum of HK\$100 per side per trade

(B) Physical shares and/or Settlement	
Physical share deposit	HK\$5.00 per transfer deed
Withdrawal of Physical share	HK\$50.00 per board lot
	HK\$500.00 handling fee for each stock
Settlement Instruction (SI)- transfer out only	Minimum HK\$200.00 handling fee (Market Value x 0.25%) each stock
Mandatory Buy-in	(0.25% of trading amount - Minimum HK\$100) + charges and penalty from Clearing House

(C) Account Fee	
Custody Fee	Free of charge
Margin share custody fee	Free of charge

(D) Nominee & Corporate Action Fee	
Scrip Fee	HK\$1.50 per board lot (CCASS Charge)
Dividend Payment or Cash Dividend with scrip option payment:	HK\$20 handling fee per each + CCASS charge (Dividend payment x 0.12%)
Voting	Waive
Subscription Rights / Warrant Exercise	HK\$20.00 for each subscription + CCASS charge (HK\$0.80 per lot)
Privatization/Share offer	HK\$20.00 for each subscription + CCASS charge (HK\$0.80 per lot) + stamp
Claim for non-receipt rights	Minimum HK\$800.00 + CCASS charges

(E) Other	
IPO subscription (Cash)	HK\$100.00
IPO subscription (margin finance)	HK\$100.00
Interest on margin finance	According to market rate
Cash client late settlement interest (p.a.)	Prime rate + 10% (BOCHK HKD prime rate)
Margin client debit balance interest (p.a.)	Prime rate + 3% (BOCHK HKD prime rate)
E-mail statement	Free of charge
Paper statement	Free of charge
Request of Historical Statements	Within past 3 months – Free of charge
	Beyond past 3 months –HK\$200.00 per month
Withdrawal Fee	Cheque – Free of charge
	Handling fee of HK\$20 will be charged for cheque amount less than HKD\$100.
	Telegraphic transfer (TT) and local transfer (CHATS) according to the bank charge + Handling charge HK\$200 per each
	Exchange rate according to bank rate when execution (limited to currency accepted by bank in Hong Kong)
Returned cheque	HK\$200
Letter of confirmation on asset	HK\$200.00 per letter

The above charges are subject to change from time to time without prior notice. Date updated: 4 January, 2023.